

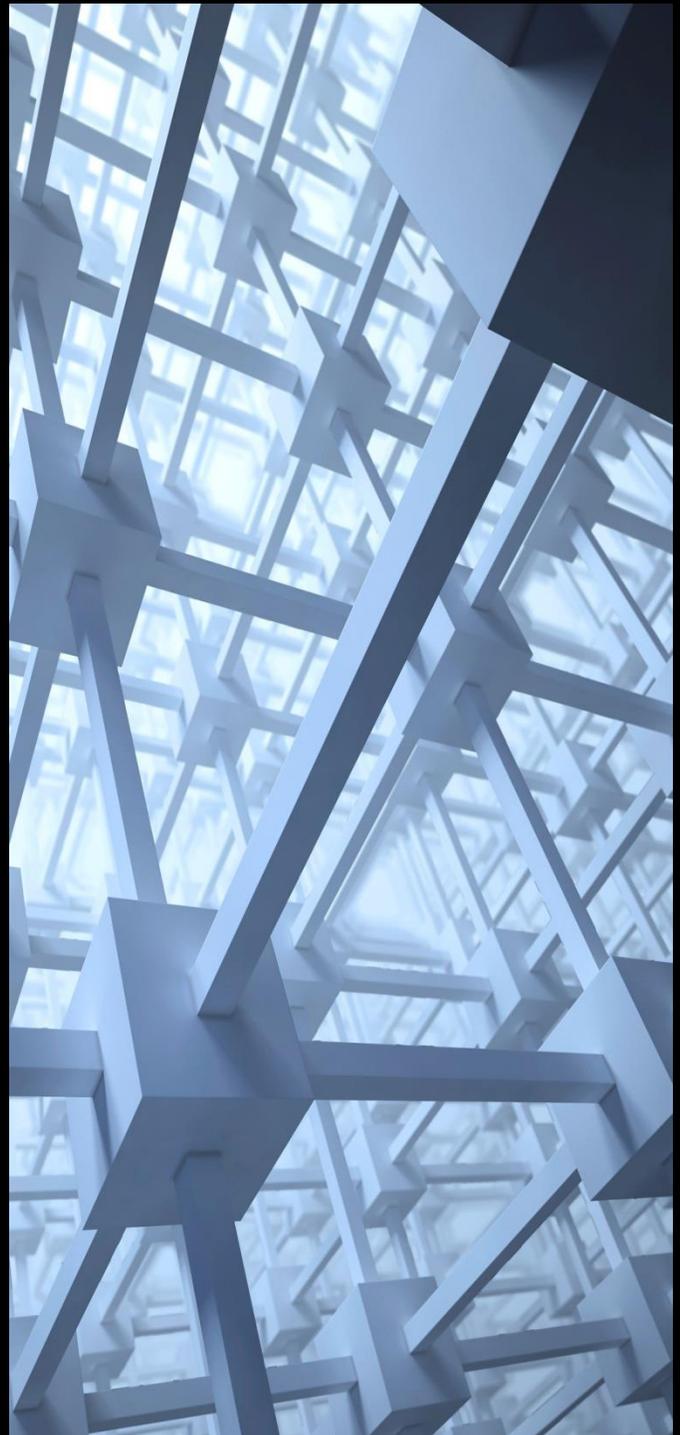
**Companion XML File for
Experience Rating
Worksheets (PDF Format)**

Revised: February 2015

Insurers may obtain experience rating worksheets (rate sheets) in a zipped Adobe Acrobat PDF format via FTP and/or WCIRB Connect. The transmission includes an XML companion file designed to be used for mapping the PDF rate sheets to the policies or records in the insurer's system.

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Notice

This *Companion XML File for Experience Rating Worksheets (PDF Format)* documentation was developed by the Workers' Compensation Insurance Rating Bureau of California for the convenience and guidance of its members. It does not bear the official approval of the Insurance Commissioner and is not a regulation.

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Overview

The Workers' Compensation Insurance Rating Bureau of California (WCIRB) distributes experience rating worksheets (rate sheets) to the insurer of record during the effective period of the experience modification or the insurer of record at the time the experience modification is issued. The rate sheets are sent double zipped in the Adobe Acrobat PDF format via FTP and/or WCIRB Connect. The transmission includes an XML companion file designed to be used for mapping the PDF rate sheets to the policies or records in your system. In WCIRB Connect, the data product can be retrieved from the navigation Menu by clicking Reports/Data Products.

The companion file includes meta data needed to identify each of the rate sheets in the zipped file and includes the corresponding ID number for the PDF. The XML companion file is specific to the zipped file. If rate sheets are resent, the new zip file includes a new XML companion file.

Zip File Presentation

The PDFs in the zip file conform to the following naming convention.

NNN BN X-XX-XX-XX RS##### MM-DD-YY.pdf

NNN	Document Sequence Number within the zip file. If a rate sheet is resent in a new file, this number will change.
X-XX-XX-XX	The Bureau Number for the specific rate sheet.
#####	Document ID number for that batch's rate sheets. If the rate sheet is resent in a new file, this number will remain the same. The Document ID number may be duplicated in the file if the resent file contains rate sheets from multiple batch runs.
MM-DD-YY	The issue date on that rate sheet.

The final document in the zip file is the .xml file. The following naming convention is used:

WCIRBCAXXX-Rate Sheet-Rate Sheet[YYYY-MM-DDHH-MM].xml

XXX	California Carrier Code
YYYY-MM-DDHH-MM	Date and Time Stamp when the FTP file was created

Companion File Format

The xml schema looks as follows:

```
<?xml version="1.0" encoding="utf-8"?>
- <START_PROCESS DOCUMENT_TYPE="RATE SHEET" RUN_DATE="MM/DD/YYYY"
  ZIPID="WCIRBCA XXX - Rate Sheet - Rate Sheet [YYYY-MM-DD HH-MM SS-FFF].zip">
- <DOCUMENT SEQUENCE="NNN BN X-XX-XX-XX RSXXXXXX MM-DD-YY.pdf">
  - <SEARCH_DATA>
    <CA_INSURER_CODE> </CA_INSURER_CODE>
    <INSURER_NAME> </INSURER_NAME>
    <GROUP_INSURER_CODE> </GROUP_INSURER_CODE>
    <GROUP_INSURER_NAME> </GROUP_INSURER_NAME>
    <GROUP_NAIC_CODE> </GROUP_NAIC_CODE>
    <NCCI_INSURER_CODE> </NCCI_INSURER_CODE>
    <BUSINESS_SEGMENT_IDENTIFIER> </BUSINESS_SEGMENT_IDENTIFIER>
    <POLICYHOLDER_NAME> </POLICYHOLDER_NAME >
    <POLICYHOLDER_ADDRESS> </POLICYHOLDER_ADDRESS>
    <POLICY_NUMBER> </POLICY_NUMBER>
    <BUREAU_NUMBER> </BUREAU_NUMBER>
    <ISSUING_OFFICE> </ISSUING_OFFICE>
    <RATING_EFFECTIVE_DATE> </RATING_EFFECTIVE_DATE>
```

```

    <ISSUE_DATE> </ISSUE_DATE>
    <RELEASE_DATE> </RELEASE_DATE>
    <RERATE_INDICATOR> </RERATE_INDICATOR>
    <EXPERIENCE_MOD> </EXPERIENCE_MOD>
  </SEARCH_DATA>
</DOCUMENT>
</START_PROCESS>

```

The tag names repeat starting with the Document Sequence Number for each rate sheet included in the file:

<DOCUMENT_SEQUENCE="NNN BN X-XX-XX-XX RSXXXXXX MM-DD-YY.pdf">

NNN is the Document Sequence Number within the zip file. If a rate sheet is resent in a new file, this number will change. BN is the Bureau Number on the rate sheet. RS is a unique number assigned to that rate sheet for that day's issuance of rate sheets. Issue date is the date the rate sheet was issued.

<CA_INSURER_CODE>

The California Insurer Code.

<INSURER_NAME>

The abbreviated insurer name.

<GROUP_INSURER_CODE>

The NAIC Group Number with a suffix if the group has separate operational divisions, or the California Carrier Code prefaced by 99 for insurers that are not part of a group.

<GROUP_INSURER_NAME>

The abbreviated insurer group name, if applicable, or the carrier name with the designation of "Ind" after it.

<GROUP_NAIC_CODE>

The NAIC Group Number or the California Carrier Code, prefaced by 99 for insurers that are not part of a group.

<NCCI_INSURER_CODE>

The carrier code assigned by NCCI.

<BUSINESS_SEGMENT_IDENTIFIER>

The series of identifying codes provided by the data provider.

<POLICYHOLDER_NAME>

The first name listed on the rate sheet, which may or may not be the named insured on your policy.

<POLICYHOLDER_ADDRESS>

The address listed on the rate sheet.

<POLICY_NUMBER>

The policy number parsed into prefix, body, suffix and unit if the policy was reported via hardcopy or the 18-character string if the policy was reported via WCPOLS.

<BUREAU_NUMBER>

The Bureau Number as a 1-7 digit number with dashes.

<ISSUING_OFFICE>

The issuing office is the issuing office to which the rate sheet is being directed.

<RATING_EFFECTIVE_DATE>

The effective date of the experience modification. MM/DD/YYYY

<ISSUE_DATE>

The date the rating is issued. MM/DD/YYYY

<RELEASE_DATE>

The date the rating is released for the first time to an insurer. It will be blank on all subsequent releases. MM/DD/YYYY

=

<RERATE_INDICATOR>

This indicates if the rating is a rerate. This field is also used to indicate if the rate sheet is withdrawn. The values for this field are:

Y = Rerate

N = Not a rerate – an original rating

W = Withdrawn rate sheet

<EXPERIENCE_MOD>

The experience modification expressed as a 1-4 digit number, with a % sign.

Example: 94%

Mapping and Processing

The Document Sequence Number allows you to map the rate sheets in a single zip file to the meta data. Note that if documents are resent in a new zip file for any reason, the new zip file will contain a new companion file and that companion file will use the Document Sequence Number of the new file. The new Document Sequence Number may not match the previous file. For additional matching, the RS number can be used, as it is unique to the issue date of the rate sheets.